# Strong hydrogen trapping by tangled dislocations in cold-

# drawn pearlitic steels

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## Abstract

The presence of diffusible hydrogen atoms can lead to hydrogen embrittlement in steels, compromising their structural integrity. A potential solution is incorporating strong hydrogen traps into the microstructures to immobilize hydrogen solute atoms and prevent their diffusion towards stress-prone areas where embrittlement is most likely to occur. However, creating materials with effective hydrogen traps usually involves adding expensive alloying elements, which increase the production costs, hindering the adoption of this strategy in the steel industry. Here we show that cold drawing of pearlitic steel rods introduces a high-density of dislocations that accumulate and tangle at cementite-ferrite interfaces; this strengthens the steel and make it less susceptible to embrittlement. We use atom probe tomography to confirm that these tangled dislocations firmly trap hydrogen in a steel that displays low embrittlement susceptibility. Our findings suggest a pathway for producing metallic materials that have an excellent combination of high strength and hydrogen embrittlement resistance, underscoring the potential of using structural defects as cost-effective hydrogen traps.

## Key words

Hydrogen embrittlement, hydrogen trapping, steels, atom probe tomography, materials design.

### 1. Introduction

The absorption of hydrogen in structural metals can significantly reduce their toughness, a phenomenon known as hydrogen embrittlement (*1-4*). This issue poses serious risks for the transportation and storage of hydrogen, a carbon-free fuel, in steel-based gas handling systems (2, 3). Various government white papers have highlighted the issue of increased maintenance costs and weakened material properties due to hydrogen embrittlement in gas infrastructure (5-7). Given the high flammability of hydrogen, material failures and resulting gas leaks could delay progress towards a hydrogen-based economy for decarbonization. This would

undermine hydrogen's advantages as a clean energy source that addresses the intermittency of solar and wind power. Hydrogen embrittlement also presents a major challenge in the automotive industry, where there is a desire to use stronger steels to reduce vehicle weight for fuel efficiency, but these high strength materials are more susceptible to hydrogen embrittlement (3, 8).

To reduce the risk of embrittlement in metals, it is crucial to minimize hydrogen absorption during the production of metal components (3, 9). One approach is controlling the dew point during heat treatment, which limits exposure to moisture (3). Another common method is post-production baking, which removes absorbed hydrogen from the metal (3). Surface coatings can also be applied to slow hydrogen uptake, delaying the time it takes for the metal to reach the critical hydrogen content that leads to embrittlement (2, 3, 10). Once hydrogen enters the metallic structure, microstructural hydrogen traps can reduce the amount of freely diffusing hydrogen, reducing its availability to facilitate crack initiation and propagation and ultimately cause embrittlement (3, 9, 11).

The effectiveness of hydrogen traps for embrittlement mitigation depends on their ability to retain hydrogen at operational temperatures for long periods (3, 9, 11). Despite decades of research into hydrogen trapping as a solution to embrittlement (12-14), its overall effectiveness is still debated. Early theoretical work argues that trapped hydrogen may create a "local equilibrium" with the surrounding matrix that has a lower hydrogen concentration (14, 15). This local equilibrium can result in the release of trapped hydrogen to the matrix and subsequently to either the surrounding environment or regions with lower hydrogen concentration. Even very strong traps, e.g., binding energies higher than 70 kJ/mol (3, 9), are expected to quickly release hydrogen in a desorbing metal. However, this local equilibrium theory has not been experimentally proven, particularly regarding whether microstructural traps exist that can firmly hold hydrogen for long durations (11, 13, 14, 16, 17). This uncertainty undermines confidence in the use of hydrogen trapping as a material design criterion to achieve embrittlement resistance in industrial alloys, alongside other important factors such as toughness, heat resistance, and cost-effectiveness.

To experimentally study hydrogen trapping, thermal desorption spectroscopy (TDS) has traditionally been used (*3, 18*). TDS measures the total hydrogen released from materials, including the contributions from any incorporated traps. However, it does not provide information about which specific microstructures are responsible for trapping the hydrogen. Although transmission electron microscopy (TEM) has been used to complement TDS data by providing microstructural details (*3, 19*), linking hydrogen desorption peaks to specific microstructures remains speculative. In addition, TDS has also been used to estimate how firmly hydrogen is trapped, i.e., trapping/detrapping energies, but these estimates rely on a simplified one-step hydrogen desorption materials desorbs instantly without interacting with other nearby microstructural traps. Uncertainties in the role of specific trap types also limit the ability to utilize traps in materials design for embrittlement resistance.

In recent years, atom probe tomography (APT) has been used to directly observe hydrogen trapping in specific microstructures (*21, 22*). APT combines high sensitivity for hydrogen detection with spatial resolution, allowing researchers to study the hydrogen localization at specific microstructures of interest, such as dislocations (*23, 24*), grain boundaries (*23, 25*), and carbide precipitates (*23, 26-29*). APT experiments often use deuterium (<sup>2</sup>H or D), a hydrogen isotope, to distinguish between hydrogen present in the sample and background noise caused by residual hydrogen in the APT chamber, which is mostly protium (<sup>1</sup>H). Additionally, cryogenic sample transfer (cryotransfer) in APT experiments helps preserve hydrogen signals after charging, ensuring sufficient data to identify trapping sites (*21, 30*).

However, APT has limitations. Its field of view is typically not greater than 100 nm  $\times$  100 nm  $\times$  500 nm, although in some cases, depths exceeding 1  $\mu$ m have been achieved. That means it can typically observe only one type of hydrogen trap at a time, making it difficult to study and compare multiple types of traps under the same conditions (*21, 22*). Additionally, because APT experiments are destructive, it is impossible to observe the same microstructure before and after treatments like

hydrogen desorption from heating, limiting our ability to research the trapping capability and dynamics of specific microstructures.

Given these challenges, this research aims to provide clear experimental evidence showing which microstructural features are the strongest hydrogen trapping sites in a strained pearlitic steel. Through a simple cold-drawing process, we developed a steel microstructure that incorporates both weak and strong hydrogen traps, as confirmed by TDS. We tested the hydrogen embrittlement susceptibility of the pearlitic steel samples and demonstrated reduced ductility loss (i.e., less embrittlement) in samples with strong traps after hydrogen charging, compared to those with only weak traps. To observe hydrogen trapping in APT, we developed a custom workflow that allowed us to produce APT specimens with highly consistent microstructures, including both the weak and strong traps, ensuring comparability across experiments. To distinguish weak and strong trapping sites, we conducted APT experiments with and without cryo-transfer, allowing us to observe hydrogen in both types of traps and only in strong traps, respectively. The results confirm that strong traps hold hydrogen for extended periods at room temperature. To further validate our APT findings, we performed numerical analyses based on our TDS results and the APT experiment parameters. Inconsistencies between the APT experimental data and conventional theories indicate that conventional hydrogen trapping models require revision. Our findings contribute to a deeper understanding of hydrogen trapping in metals and demonstrate a cost-effective, industry-ready process for creating strong hydrogen traps in steels, advancing the development of materials compatible with hydrogen use.

## 2. Experimental Methods

#### 2.1 <u>Material</u>

This research uses pearlitic steel wires with a composition of Fe–0.86C–0.72Mn– 0.28Cr–0.24Si (weight percent) supplied by CITIC Metal. The wires underwent three different levels of strain via successive cold drawing. Compared to normal tensile approaches (*31, 32*), successive cold drawn steels have fewer microcracks, which are known to be strong hydrogen traps and potential initiation sites for hydrogen embrittlement (*3*, *33*, *34*).

#### 2.2 <u>Electron microscopy characterizations</u>

To characterize material microstructures, we employed scanning electron microscopy (SEM), electron backscatter diffraction (EBSD), scanning transmission electron microscopy (STEM), and transmission Kikuchi diffraction (TKD). SEM/EBSD specimens were mechanically grinded using silicon carbide (SiC) papers from 320 to 1200 grit before being further polished by the diamond suspensions with the particle sizes from 9, 3, to 1µm. Then the specimens were polished using a Vibratory Polisher for 1 hour as the final step. TKD specimens were prepared by using twin jet electropolishing in an Electropolisher TenuPol-5 with 10 volume percent of perchloric acid in ethanol at -20 °C and a voltage of 20 V. A Zeiss ULTRA Plus SEM with an Oxford Instruments Symmetry CMOS EBSD detector and an Aztec software. The SEM/EBSD data was collected in using an acceleration voltage of 20 kV and a step size of 50 nm. The TKD data was collected at an acceleration voltage of 30 kV with a step size of 5 nm.

STEM specimens were prepared by using xenon plasma focused ion beam (FIB) in a ThermoFisher G4 Hydra in the following order of ion-beam milling: 30 kV-300 pA, 30 kV-30 pA, and 5 kV-30 pA. A ThermoFisher Spectra S/TEM operated at 300 kV was used to obtain the STEM images with a convergence angle of 17.9 mrad and collection angles of 38 - 200 mrad for High-angle annular dark-field images (HAADF) and 9 - 35 mrad for annular bright field (ABF).

#### 2.3 <u>Thermal desorption spectroscopy (TDS)</u>

The TDS system at Shanghai Jiao Tong University contains a glass tube furnace, a vacuum pump, and a quadrupole mass spectrometer by INFICON with a home-made cryo-stage for minimizing hydrogen loss prior to measuring the desorbed hydrogen. Samples for TDS experiments were cut into  $10 \times 5 \times 1$  mm cuboids and then polished using SiC papers from 320 to 1200 grit. Subsequently, they were electrochemically

charged with hydrogen using a 0.1 M NaOH + 1g/L NH<sub>4</sub>SCN aqueous solution at a current density of 50 mA/cm<sup>2</sup> for 24 hours. After that, the hydrogen-charged specimens were placed on a cooling stage at approximately 5 °C in the loading chamber of the TDS instrument. Then the vacuum-pumping was started while the temperature of the cooling stage was continuously decreasing to approximately - 190 °C before TDS experiments were conducted. This cooling and pumping process took approximately 20 minutes. When the loading chamber pressure reached  $10^{-4}$  Pa, the TDS specimens were transferred onto a room-temperature stage in the analysis chamber constantly at approximately  $10^{-7}$  Pa, ready for subsequent heating and simultaneous hydrogen measurement.

The hydrogen measurements were conducted after either 0 hour, 2 hours, or 12 hours of waiting/desorption in the analysis chamber at room temperature. The heating was conducted at either 100 °C, 150 °C, and 200 °C per hour and ended at 500 °C. The isothermal desorption experiment was conducted with no waiting at room temperature for 5.5 hours. The Kissinger model was used to estimate the hydrogen trapping/detrapping energy ( $E_d$ ), using the following Eq. 1:

$$\frac{\partial \ln\left(\frac{\phi}{\left(T_p^{(i)}\right)^2}\right)}{\partial\left(\frac{1}{T_p^{(i)}}\right)} = -\frac{E_d^{(i)}}{R}$$
Eq. 1

where  $T_p^{(i)}$  is the *i*<sup>th</sup> peak temperature in TDS desorption profiles.

## 2.4 Slow strain rate tensile testing (SSRT)

SSRTs were conducted following the ASTM E8 standard for tensile tests of round bars and using an Instron 5567 and a strain rate of  $5 \times 10^{-5}$  s<sup>-1</sup>. Most of the round bar SSRT specimens have gauge length and diameter of 10 mm and 2.5mm, respectively, except the unstrained specimens that use a gauge length of 50 mm. The specimens were mechanically polished using SiC papers from 320 to 1200 grit. The mechanical testing results were normalized and compared using the Young's modulus of the unstrained specimens. Three different treatments were applied on the SSRT specimens: uncharged, hydrogen-charged (H-charged), and hydrogen charged and then desorbed at approximately  $10^{-5}$  Pa for12 hours (12-hour desorption). At least 3 specimens were performed at each condition. For hydrogen charging, we used the method similar to that of the TDS experiments, i.e. using aqueous solution of 0.1 M NaOH + 1g/L NH4SCN and a current density of 50 mA/cm<sup>2</sup> for 24 hours. The overall SSRT results are provided in Table S2.

## 2.5 Atom probe tomography (APT)

The APT tips were fabricated from  $1 \times 1 \times 15$  mm matchstick-shaped bars. All APT tips were fabricated using a dedicated workflow, as illustrated in Fig. 1, to ensure the incorporation of cementite lamella oriented in the APT analysis direction, which improves the success rate of APT analyses that incorporate heterogeneous layers. It also ensured the incorporation of the tangled dislocations near the surface of the cementite lamella. The initial rough electropolishing was performed in 25% perchloric acid in acetic acid at 10–20 V until the bars separated into two tips in the middle. As shown in Fig. 1A, fine electropolishing was then carried out under an optical microscope using 2% perchloric acid in butoxyethanol to create sharp tips. For the non-H-charged samples (Fig. S5A in the Supplementary Information), tip sharpening was conducted using a Ga<sup>+</sup> Zeiss Auriga FIB–SEM with three sequential ion-beam conditions: 30 kV–5 nA, 30 kV–1 nA, and then 30 kV–500 pA, before a final 5 kV–50 pA low-energy low-current for 25 seconds removed the ion-damaged layer. For all H-charged specimens, all tip preparations were performed using a Xe<sup>+</sup> plasma-focused ion beam (PFIB) to minimize the Ga influence as shown in (*35*).



Fig. 1 APT tip specimen fabrication with vertical cementite lamella.

APT data was acquired using a LEAP 4000 Si by CAMECA. All APT experiments were conducted with a voltage pulse frequency of 200 kHz, a pulse fraction of 20%, a specimen temperature of 50 K, and a flight path length of 90 mm. APT reconstructions were processed with AP Suite software (Version 6.1) by CAMECA, using a detector efficiency of 57% and an image compression factor of 1.65.

As shown in Fig. 1C, the specimen deuterium charging and cryo-transfer methods were adopted from our previous studies (23, 25), with the addition of a cryo-FIB step to the cryo-transfer process. The APT specimens were prepared in advance using FIB, and the charging was carried out in a cryo-transfer glove box produced by M.Braun Inertgas Systems. The charging solution consisted of 0.1 M NaOH in D<sub>2</sub>O, and deuterium charging was applied to the APT tips for 45 seconds at 2.2 V. This was followed by plunge-freezing in liquid nitrogen and then the cryo-transfer process.

The cryo-prepared specimens were then transferred from the glove box to a PFIB system using a cryogenic ultrahigh vacuum (UHV) suitcase. The sharpening process was completed at a cryo-stage at a low temperature of approximately -190 °C. Three ion-beam conditions were used—30 kV–0.1 nA and 30 kV–30 pA—to remove ice and further refine and smooth the tip during the annular milling process. A final 5 kV–50 pA polishing was applied for 10 seconds to remove the possible ion-damaged layer. Finally, the APT specimens were transferred again using the UHV suitcase from the PFIB system to the APT system to begin the APT measurements.

For the APT experiments for the desorbed specimens, the charging time, solution, and voltage conditions were the same as those used for the cryo-APT procedures. After charging, the tips were immediately placed in the load lock at room temperature and kept there for 2 hours. The vacuum in the load lock reached approximately 10<sup>-3</sup> Pa within 10 minutes and maintained a level of 10<sup>-4</sup> Pa for about 0.5 hour, before gradually decreasing to 10<sup>-5</sup> Pa for the remainder of the time. The tips were then transferred to the analysis chamber via a buffer, and APT measurement commenced.

#### 3. Calculation

The numerical models in this research mainly adapted the framework developed in (11, 14, 15, 36-39). The Kissinger approach for estimating the hydrogen trapping energy is shown in Eq. 1. Note that in Eq. 1 only the heating rate  $\phi$  and the temperature are considered for the estimation of hydrogen binding energies. That is, the Kissinger model does not consider the effects of thickness, trapping densities, and initial hydrogen concentration, which are thus questionable in the estimation of binding energy.

For developing McNabb-Foster-Oriani model, we first consider the transport of hydrogen atoms inside the metal follows the Fick's second law:

$$\frac{\partial C}{\partial t} + \nabla \cdot \boldsymbol{J} = 0 \qquad \qquad \text{Eq. 2}$$

where *C* is the total hydrogen concentration and *J* is the flux. The total hydrogen concentration can be separated into the local concentration in the lattice ( $C_L$ ) and in the trapping sites ( $C_T$ ). Thus, Eq. S2 can be re-written as:

$$\frac{\partial C_L}{\partial t} + \frac{\partial C_T}{\partial t} + \nabla \cdot \boldsymbol{J} = 0$$
 Eq. 3

The flux **J** is defined as:

where  $D_L$  is temperature-dependent ideal diffusivity through lattice sites.

When multiple trapping sites are considered, the rate term can be defined as:

$$\frac{\partial C_T}{\partial t} = \sum_{i=1}^n \frac{\partial C_T^i}{\partial t}$$
 Eq. 5

Combining Eqs. 2-5, one can reach:

$$\frac{\partial C_L}{\partial t} + \sum_{i=1}^n \frac{\partial C_T^i}{\partial t} + \nabla \cdot (-D_L \nabla C_L) = 0$$
 Eq. 6

Consider now the definition of  $\partial C_T / \partial t$ , the general equilibrium equation for the *i*<sup>th</sup> trap site is given by the *McNabb-Foster* equation:

$$\frac{\partial C_{\rm T}^{(1)}}{\partial t} = \left[ k^{(i)} \theta_L N_T^{(i)} \left( 1 - \theta_T^{(i)} \right) - p^{(i)} C_T^{(i)} (1 - \theta_L) \right]$$
 Eq. 7

with

$$k^{(i)} = v_t^{(i)} \exp\left(-\frac{E_t^{(i)}}{RT}\right)$$
 and  $p^{(i)} = v_d^{(i)} \exp\left(-\frac{E_d^{(i)}}{RT}\right)$  Eq. 8

where *T* is the temperature, *R* the gas constant,  $\theta_L = C_L/N_L$  and  $\theta_T = C_T/N_T$  are the occupancy fractions of hydrogen in the lattice and trapping sites, with  $N_L$  and  $N_T$  being the number of interstitial sites per unit volume and the trapping density, respectively.  $E_d$  is the activation energy for moving from a lattice site to a trap site, while  $E_t$  is the activation energy required for moving from a trap site to a lattice site.  $v_d$  and  $v_t$  are the vibration frequency of the hydrogen atom hopping from a lattice site to a trap site to a lattice site.

A commonly used assumption for describing trapping behaviors is that trap kinetics occur on a much smaller time scale than the diffusion of hydrogen through the lattice. Consequently, the time derivative in Eq. 7 is considered to be zero. By assuming  $v_d^{(i)} = v_t^{(i)} = v$ , one can reach the so-called *Oriani's local equilibrium* theory:

$$\frac{\theta_T^{(i)}}{1 - \theta_T^{(i)}} = \frac{\theta_L}{1 - \theta_L} K_T^{(i)}$$
 Eq. 9

and the equilibrium constant  $K_T^{(i)}$ , is given by:

$$K_T^{(i)} = \exp\left(\frac{-\Delta H^{(i)}}{RT}\right)$$
 Eq. 10

with  $\Delta H^{(i)} = E_t^{(i)} - E_d^{(i)}$  being the trap binding energy.

Following Eq. 9 and considering the very low solubility of hydrogen in the lattice  $(\theta_L \ll 1)$ , the concentration of trapped hydrogen can be expressed as:

$$C_T^{(i)} = \frac{K_T^{(i)} N_T^{(i)} \theta_L}{1 + K_T^{(i)} \theta_L}$$
 Eq. 11

Subsequently, the rate term can be expressed as:

$$\frac{\partial C_T^{(i)}}{\partial t} = \frac{\partial C_T^{(i)}}{\partial C_L} \frac{\partial C_L}{\partial t} + \frac{\partial C_T^{(i)}}{\partial K_T^{(i)}} \frac{\partial K_T^{(i)}}{\partial T} \frac{\partial T}{\partial t}$$
Eq. 12

Note that the second term in Eq. 12 is only applicable to the TDS test (Fig. 7A). For experiments that involve a constant room temperature (Figs. 7B-7E), this term is zero

and can be naturally omitted. In the TDS experiments, the temperature increases from  $T_0$  at a constant heating rate  $\phi$ ; thus, Eq. 12 can be formulated as,

$$\frac{\partial C_T^{(i)}}{\partial t} = \frac{K_T^{(i)} N_T^{(i)} / N_L}{\left(1 + K_T^{(i)} C_L / N_L\right)^2} \frac{\partial C_L}{\partial t} + \frac{N_T^{(i)} K_T^{(i)} \Delta H^{(i)} \Phi[C_L / N_L - (C_L / N_L)^2]}{RT^2 \left[1 + K_T^{(i)} C_L / N_L\right]^2} \quad \text{Eq. 13}$$

Also, a non-dimensional operational diffusivity  $D^*$  can be defined as:

$$D^* = 1 + \sum_{i=1}^{n} \frac{K_T^{(i)} N_T^{(i)} / N_L}{\left(1 + K_T^{(i)} C_L / N_L\right)^2}$$
 Eq. 14

Considering the simulation of the TDS test is a one-dimensional problem in the case of sheet specimens, i.e., the thickness *L* of the specimen is much smaller than the other two dimensions, the *Oriani's local equilibrium* can be re-written by combining Eqs. 6, 13, and 14, leading to:

$$D^* \frac{\partial C_L}{\partial t} + \sum_{i=1}^n \frac{N_T^{(i)} K_T^{(i)} \Delta H^{(i)} \phi [C_L / N_L - (C_L / N_L)^2]}{RT^2 \Big[ 1 + K_T^{(i)} C_L / N_L \Big]^2} = \frac{\partial}{\partial x} \cdot \left( D_L \frac{\partial C_L}{\partial x} \right) \qquad \text{Eq. 15}$$

which is the governing equation for the TDS simulation in Fig. 7A. Note that Eq. 15 is equivalent to Eqs. 6-8 when the values of the vibration frequencies  $v_d^{(i)}$  and  $v_t^{(i)}$  are set to sufficiently large values; these vibration frequencies are expected to be on the order of the Debye frequency (10<sup>13</sup> Hz). Also, the diffusion coefficient,  $D_L$ , is a temperature-dependent function, which defines as:

$$D_L = D_0 \exp\left(\frac{-E_t}{RT}\right)$$
 Eq. 16

For Figs. 7B-7D with a constant temperature, the governing equation becomes,

$$\left[1 + \sum_{i=1}^{n} \frac{K_T^{(i)} N_T^{(i)} / N_L}{\left(1 + K_T^{(i)} C_L / N_L\right)^2}\right] \cdot \frac{\partial C_L}{\partial t} = D_L \nabla^2 C_L$$
 Eq. 17

And the parameters in Table S3 and specimen dimensions in Fig. S9 were used in our simulation. Based on the parameters defined above, we compare the TDS curve predictions in Fig. 7A using the Oriani model and the McNabb-Foster model. For the McNabb-Foster model, the vibration frequencies  $\nu_d^{(i)}$  and  $\nu_t^{(i)}$  are set to be the Debye frequency,  $\nu_d^{(i)} = \nu_t^{(i)} = 10^{13}$  Hz, as it is commonly assumed *(65)*. As shown in Fig.

S10, both models yield identical results, demonstrating the consistency between these two theories. This is consistent with literature results, which show that Oriani's equilibrium generally holds *(65)*.

## 4. Results and discussion

## 4.1 <u>Material microstructure</u>

As shown schematically in Fig. 2A, three pearlitic steel wires were used, denoted as unstrained (black), mid-strained (blue), or high-strained (red) with diameters of 13 mm, 8 mm, and 5.6 mm, respectively. The microstructural evolution with successive cold drawing was characterized using SEM, EBSD, STEM, and TKD. Figs. 2B-2D are EBSD inverse pole figure maps for the unstrained, mid-strained, and high-strained specimens, respectively. Here, RD, ND, and TD represent the rolling/drawing direction, the normal direction (perpendicular to the displayed plane), and the transverse direction. These figures show a grain size reduction from 27.6  $\mu$ m, to 6.77  $\mu$ m, and further to 2.19  $\mu$ m as cold drawing progresses, indicating a higher density of grain boundaries. Figs. 2E-2G display geometrically necessary dislocations (GNDs) maps for the three specimens, revealing that as strain increases, the crystallographic misorientation and thus the density of geometrically necessary dislocations increases from 9.78, to 18.41, and 25.49 (units:  $10^{14}$  m<sup>-2</sup>). Additional EBSD pole figures of all three specimens are provided in the Supplementary Information (Fig. S1).



Fig. 2 Strain-induced microstructural changes in pearlific steel samples. (A) illustrates the cold drawing process used for straining the pearlific steel wire samples and the unstrained (black, 13 mm diameter), mid-strained (blue, 8 mm diameter), and high-strained (red, 5.6 mm diameter) states. (B–D) are EBSD inverse pole figures, overlaying band contrast and grain boundary maps, from the three samples. (E–G) are geometrically necessary dislocations (GNDs) maps showing dislocation density. (H–J) are STEM ABF images of the three specimens along the [110] zone axis. (K–L) are TKD results from a high-strained specimen. (K) is a band contrast map overlaid with a grain boundary map. (L) is the GNDs dislocation density map.

Figs. 2H-2J show STEM annular bright-field images of the unstrained, midstrained, and high-strained specimens. These images contain cementite lamella (yellow arrows), dislocations (magenta arrows), and the ferrite matrix (green arrows). The dislocation density appears to increase at greater strain levels, which aligns with the GNDs results (Figs. 2E-2G). In Figs. 2I and 2J, tangled dislocations can be seen at the proximity of the cementite-ferrite interfaces, and in the high-strained specimen, some of the cementite lamellae appear sheared, Fig. 2J. Further analysis of the dislocation structures at the cementite-ferrite interface was conducted using highresolution STEM as provided in the Supplementary Information (Fig. S2), which confirms the presence of dislocations at these interfaces. These findings are consistent with the literature that have reported similar microstructural evolution resulting from straining pearlitic steels (*31, 32, 34, 40-42*).

To provide a more comprehensive view of the dislocation distribution near the cementite-ferrite interfaces, we performed TKD analysis on a single pearlite grain from the high-strained specimen, as shown in Figs. 2K and 2L. Fig. 2K is a band contrast image showing the location of the cementite lamella (yellow arrows). Fig. 2L presents the corresponding GNDs map, which shows a correlation between the cementite lamella and regions of high dislocation density in ferrite, further confirming the presence of dislocations near the cementite-ferrite interfaces in the strained specimens. The findings lay the groundwork for further analyses of hydrogen trapping behavior, and how this relates to the microstructural changes upon straining in the pearlitic steel specimens.

## 4.2 Strong and weak hydrogen traps

As shown in Fig. 3, we used TDS to compare hydrogen trapping in the unstrained (Fig. 2H) and high-strained specimens (Figs. 2J and 2L), where the main microstructural differences are the grain size and the presence of tangled dislocations. After electrolytically saturating the TDS specimens with hydrogen, we conducted hydrogen desorption in a high vacuum chamber at 10<sup>-7</sup> Pa at room temperature, for three different durations: 0 hours (i.e., no desorption), 2 hours or 12 hours. The goal

was to test the effectiveness of the tangled dislocations as strong hydrogen traps at room temperature. All the measured contents of hydrogen are provided in Table S1 in the Supplementary Information.



**Fig. 3 Hydrogen trapping in unstrained and high-strained specimens.** Hydrogen TDS profiles of results of (A) unstrained and (B) high-strained specimens that are either undergoing no desorption (black), 2 hours of desorption (blue), or 12 hours of desorption (red) at room temperature. (C) Magnified view of the black dashed area in Figure (B). (D) Hydrogen TDS profiles of high-strained specimens under three different heating rates: 100 °C/h (hexagon), 150 °C/h (triangle) and 200 °C/h (star). (E) Kissinger plots to estimate hydrogen detrapping energies corresponding to the high-temperature (blue) and low-temperature (green) hydrogen desorption peaks in Figure (D).

Fig. 3A shows the hydrogen desorption profiles for the unstrained specimens after no desorption (black), 2-hour desorption (blue), and 12-hour desorption (red). The data from the unstrained specimens contain a single hydrogen desorption peak at approximately 100 °C, which decreases as the sample desorption time increases. Fig. 2B shows the hydrogen desorption profiles for the high-strained specimens after different desorption periods. These profiles contain *two* hydrogen desorption peaks one at approximately 100 °C (low-temperature peak) and the other at 240 °C (hightemperature peak).

Note that Fig. 3A uses a different y-axis scale compared to Fig. 3B, and the lowtemperature peak is associated with much more hydrogen for the strained sample than the unstrained sample. This low temperature peak is consistent with the type of peak usually created from trapping of hydrogen at weak traps, including grain boundaries (*18, 33, 43*), and is consistent with the change of grain boundary density revealed by Figs. 2B and 2D. Complete hydrogen contents measured by TDS can be found in Table S1 in the Supplementary Information.

Fig. 3C is a close-up image of the high-temperature desorption peak, showing that it does not decrease significantly with longer desorption times (blue and red profiles). This suggests that the stronger hydrogen traps observed in the strained samples are highly effective at retaining hydrogen over extended periods. Data for the mid-strained specimens, as shown in Fig. S3 in Supplementary Information, agrees well with the results for the high-strained specimens that have a similar microstructure (Fig. 2B). Overall, our TDS results are in good agreement with the results reported in the literature that also used TDS for studying hydrogen trapping in pearlitic steels (*17*, *33*, *40*).

We used the TDS data to estimate the hydrogen detrapping energies for these pearlitic steel samples, which can serve as an approximation of the trapping binding energies in the body-centered cubic (BCC) steels like the one used in this research, due to the low lattice diffusion barrier for the hydrogen in these materials (*3*). As shown in Fig. 3D, we used three heating rates—100 °C/h, 150 °C/h, and 200 °C/h—to generate the corresponding desorption profiles. From these, we estimated the detrapping energies to be 30.2 kJ/mol and 47.0 kJ/mol for the low- and high-temperature peaks, respectively, Fig. 3E, based on the conventional one-step hydrogen detrapping model (*18, 20*). It is important to note that the low-temperature peak may include contributions from other weak traps, such as dislocations (*18, 43*), vacancies (*44, 45*), and other microstructures (*3, 9*). However, this study focuses on identifying

the source of the strong hydrogen traps responsible for the high-temperature peak. As such, no further attempts were made to deconvolute the individual contributions of these weak traps, given that this remains a challenging task (3, 9).

## 4.3 Hydrogen embrittlement susceptibility

With an understanding of material microstructures and macroscopic hydrogen trapping, we evaluated the hydrogen embrittlement susceptibilities of the pearlitic steel specimens using SSRT. Two different treatments were applied to the SSRT specimens for comparison with the uncharged reference: hydrogen-charged and then immediately tested (H-charged), and hydrogen charged followed by 12 hours of desorption in vacuum (12-hour desorption). The representative stress-strain curves for the uncharged reference, H-charged state, and 12-hour desorption states are shown in Fig. 4, in black, blue, and red, respectively. The vertical and horizontal bars in Fig. 4 indicate the ranges of ultimate tensile strength and total elongation, respectively, from three measurements for each sample condition.



*Fig. 4 SSRT stress-strain curves of high-strained and unstrained specimens for hydrogen embrittlement susceptibility. Black, blue, and red refer to uncharged, hydrogen-charged and tested immediately (H-charged), and hydrogen-charged desorbed for 12 hours at room temperature in vacuum (12-hour desorption). The error bars refer to ultimate tensile strength and elongation, which are obtained from 3 data points for each condition.* 

In Fig. 4, the uncharged data (black) shows the high-strained pearlite steel specimens have significantly higher ultimate tensile strengths (UTS), reaching approximately 2.2 gigapascals (GPa), compared to the unstrained specimens, which have UTS of around 1.3 GPa. This increase in UTS results from a combination of grain refinement (Figs. 2B-2D) and increased dislocation density (Figs. 2E-2G), and a higher carbon content in the BCC steel matrix, which is well documented in literature (*31, 40, 42, 46, 47*). Complete mechanical property data can be found in Table S2 in the Supplementary Information.

To quantify hydrogen embrittlement susceptibility, we measured the ductility loss, defined as the reduction in elongation compared to the uncharged state:

$$Ductility \ loss \ (\%) = \frac{Elongation_{uncharged} - Elongation_{H-charged}}{Elongation_{uncharged}}$$

Based on this metric, we found the average ductility loss for the high-strained specimens was 14.4% (magenta arrow, top curves in Fig. 4), which is lower than the 22.1% ductility loess observed in the unstrained specimens (green arrow, bottom curves in Fig. 4). This result is noteworthy because the reduction in ductility loss in the stronger material contradicts the conventional understanding of hydrogen embrittlement susceptibility, which generally suggests the high-strength materials are more susceptible (*2*, *3*, *9*, *48*). In fact, steels with similarly high strength (approximately 2 GPa) have often been reported to suffer from 50 to 90 percent of ductility loss in the presence of hydrogen (*8*, *49-51*). Our finding that the strained pearlitic steel exhibits low embrittlement susceptibility aligns with studies of similar materials in literature (*31*, *33*, *40*, *52*).

In addition to the H-charged specimens, we also tested the 12-hour desorption specimens, as shown by the red broken lines in Fig. 4. These specimens demonstrated a recovery in ductility after weakly trapped hydrogen was removed. We further conducted fracture surface observation using SEM, as shown in Fig. S4 in the Supplementary Information. The SEM results revealed more brittle cleavage in the Hcharged specimens than in the uncharged and desorbed specimens, consistent with the mechanical results shown in Fig. 4 and the results reported in literature with strained pearlitic steel samples (17, 31, 33, 40, 52).

In summary, we found the high-strained pearlitic steel sample can accommodate more hydrogen (Fig. 3), while showing a higher resistance to hydrogen embrittlement (less ductility loss) and simultaneously exhibiting greater strength, compared to its unstrained counterpart (Fig. 4). This is attributed to the presence of strong hydrogen traps (Fig. 3B), which reduce hydrogen's involvement in crack initiation and propagation. To further explore this, we used APT to investigate how hydrogen interacts with the microstructure of the high-strained pearlitic steel, aiming to identify which microstructures contribute to its low embrittlement susceptibility.

#### 4.4 Observation of weak and strong hydrogen traps

To observe and differentiate between weak and strong hydrogen traps in the highstrained pearlitic steel, we conducted two types of APT experiments. One used cryotransfer, which preserves both weakly and strongly trapped hydrogen for observation. Another one included a vacuum desorption process at room temperature without using cryo-transfer, leaving only strongly trapped hydrogen for observation.

After tip fabrication, the APT specimens were electrolytically saturated with deuterium before undergoing either cryo-transfer, initiated by a plunge-freezing in liquid nitrogen, or room-temperature desorption in the APT vacuum chamber for 2 hours. During the desorption process, the progress of hydrogen desorption was monitored in real time using the vacuum chamber's pressure gauge. The hydrogen-charged, non-cryo specimens consistently required approximately 10 minutes longer at 10<sup>-3</sup> Pa to reach the normal operating pressure of 10<sup>-5</sup> Pa compared to uncharged reference specimens. The APT mass spectra of uncharged, cryo-transfer, and hydrogen-desorbed specimens are respectively provided in Fig. S5A-C in the Supplementary Information, which also shows the ion labeling method in APT data analyses.

Fig. 5 shows the APT results of a deuterium-charged, cryo-transfer specimen. Fig. 5A is an overall image of a reconstructed 3-dimensional atom map, showing the distribution of carbon (C, blue) and deuterium (D, red) atoms, where the carbon-rich

regions are cementite lamella. Fig. 5B is a slice view from the y-z plane marked in Fig. 5A, which shows a clear correlation between the distribution of the deuterium and carbon atoms, suggesting hydrogen trapping in (and near) cementite lamella. Fig. 5B also shows a ridge in the carbon distribution, indicated by the orange arrow, thought to be a sheared cementite lamellar, as seen in the previous TEM image (Fig. 2J). Fig. 5C is an elemental concentration profile from a cylindrical region of interest (ROI) marked by the black dashed lines in Fig. 5B, showing an increased carbon content and decreased of iron (Fe, grey) content, across a cementite plate. These changes also coincide with an increase of deuterium content, indicating hydrogen trapping. This observation of hydrogen trapping in cementite lamella is consistent with our previous research studying an unstrained pearlitic steel specimen (*53*).



Fig. 5 Hydrogen maps of cryo-transfer strained steel specimen. (A) is a reconstructed 3-dimensional atom map, where carbon (C) and deuterium (D) atoms are shown in blue and in red, respectively. (B) is a 10-nm-thick slice view of the region in the y-z plane marked by the black dashed lines in (A). (C) provides the elemental concentrations of iron (grey), carbon (blue), and deuterium (red) from the 30 nm x 30 nm cylindrical ROI marked by the black dashed lines in (B). (D–F) are

10-nm-thick slices from the x-y planes marked by the dashed lines in (A), using 2 atomic percent carbon isosurfaces to highlight the carbon-rich regions.

Figs. 5D-5F are cross-sectional views from the respective x-y planes marked in Fig. 5A, using 2 atomic percent (at.%) carbon isoconcentration surfaces (isosurfaces) (23, 54) to delineate the cementite regions. These delineations offer a clearer view of the shapes of the cementite lamella and their surroundings. We found carbon-rich regions that connect the cementite lamella, highlighted by magenta arrows in Figs. 5D and 4E. These regions are thought to be the footprints of dislocation shearing across the cementite lamella, which is well-documented in literature (34, 41, 55). Fig. 5F shows that these dislocation-sheared carbon-rich regions are only present in specific areas and are not uniform along the cementite lamella, ruling out artifacts in APT.

Hydrogen trapping in the cementite lamella (Fig. 5) is attributed to a combination of carbon vacancies (34, 56), interstitial hydrogen in solution, and the presence of tangled dislocations near the cementite-ferrite interfaces (Figs. 2G and 2J) (31). A couple of notes when interpreting these images. First, dislocations without elemental segregation are not visible in APT data. In the case that dislocations attract carbon in the form of "Cotrell atmospheres" (41, 55, 57-59), dislocations can also attract hydrogen has been demonstrated in literature (23, 24). Second, trajectory aberrations at interfaces in atom probe data can lead to "blurring" of compositions across interfaces and this effect is strongest if the boundaries are oriented in the analysis direction (55, 60), as they are in these experiments. This means that the carbon isosurfaces in Figs. 5D-5F are a guide to carbon-rich areas, but do not indicate the exact locations of the cementite-ferrite interfaces. The relatively low carbon concentration (5.7 at. %) observed within the cementite lath of the strained sample shown in Fig. 5C is attributed to deformation-induced cementite decomposition (41, 61). An additional uncharged APT dataset in Fig. S6 shows that the degree of decomposition may vary across different cementite laths and different locations within one lath, indicating an inhomogeneous decomposition potentially caused by localized strain (41, 47, 61).

Fig. 6 shows the atom maps from the desorbed deuterium-charged specimen, which are quite different to the cryo-transfer specimen results in Fig. 5. Fig. 6A is an overall 3-dimensional atom map of the carbon and deuterium. Fig. 6B is a slice view from the y-z plane marked in Fig. 6A, and Fig. 6C is a concentration profile for the ROI marked in Fig. 6B. Figs. 6D-6F show slices from the respective x-y planes marked in Fig. 6A. These indicate a concentration of hydrogen near the cementite-ferrite interface, contrasting with the co-localization of hydrogen and carbon observed in the cryo-transfer APT specimen. A repeat APT experiment on the 2-hour hydrogen-desorbed specimen is shown in Fig. 67 in the Supplementary Information, which agrees well with the results in Fig. 6. Additionally, we conducted a 1-hour desorption experiment (Fig. S8 in the Supplementary Information), which yielded similar hydrogen distribution patterns, suggesting the observed hydrogen distributions in these specimens are stable. These findings are consistent with literature that used either APT (*32, 62*) or another technique (silver microprint) (*31, 52*) and showed similar hydrogen localization near cementite-ferrite interfaces.



Fig. 6 Hydrogen maps of hydrogen-desorbed strained steel specimen. (A) is a reconstructed 3-dimensional atom map, where carbon (C) and deuterium (D) atoms are shown in blue and in red, respectively. (B) is a 10-nm-thick slice view of the region in the y-z plane marked by the black dashed lines in (A). (C) provides the elemental concentrations of iron (grey), carbon (blue), and deuterium (red) from the 30 nm x 40 nm cylindrical ROI marked by the black dashed lines in (A), using 2 atomic percent carbon isosurfaces to highlight the carbon-rich regions.

The APT results in Figs. 5 and 6 suggest that the room-temperature desorption allowed the release of hydrogen trapped in the cementite lamella, but not the hydrogen that is trapped close to the cementite-ferrite interface. This indicates that cementite has a lower hydrogen trapping ability than the features near the cementite-ferrite interfaces. Of course, hydrogen retention depends on specimen size (*28, 63*). It is notable that such small APT specimens can retain significant hydrogen at room temperature for such a long duration (2 hours). Based on the microstructure of the high-strained specimens (Figs. 2I, 2J, and 2L), we conclude that the strong hydrogen trapping observed at the interface is associated with tangled dislocations near the cementite-ferrite interfaces. This is supported by the APT slice views (Figs. 6D-6F), which show interesting hydrogen clusters near a cementite ridge (orange arrows) that align with the direction of dislocation-shearing footprints (green arrows) identifiable by the carbon between the cementite lamella (magenta arrows).

In summary, comparing hydrogen-preserved (cryo-transfer) and hydrogendesorbed APT results (Figs. 5 and 6), we confirm the presence of strong hydrogen traps near the cementite-ferrite interfaces in highly strained pearlitic steel specimens, where abundant tangled dislocations are present, as indicated by TKD (Fig. 2L) and STEM (Figs. 2I and 2J). Considering the high density of tangled dislocations, we believe these traps are the primary contributors to the high-temperature hydrogen desorption peak observed in the TDS data (Fig. 3B). Notably, these tangled dislocation traps can be produced in large quantities through straining at low cost, offering significant advantages over other traps like carbide precipitates, which require alloying elements (49, 64). Moreover, we found that the hydrogen trapped in cementite lamella was released after room-temperature desorption, indicating that cementite is a weaker trap compared to tangled dislocations. This type of weak hydrogen trapping, observed using APT, has rarely been reported due to the extreme sensitivity of small APT specimens to heat during sample transfer, requiring careful cryo-workflow design and execution (21, 30). By combining cryo-transfer and roomtemperature desorption experiments on the highly strained pearlitic steel specimens, we reconcile discrepancies in the literature regarding hydrogen trapping sites in steels (32, 53, 62).

#### 4.5 <u>Correlating TDS and APT with conventional desorption models</u>

Numerical analyses were carried out to correlate our APT and TDS results with conventional hydrogen trapping/detrapping theories, with an aim to quantify the effective trapping and detrapping energies of the tangled dislocation strong traps. Three foundational theories are considered here: the Kissinger single-step hydrogen detrapping model (20) and the more rich Oriani and McNabb-Foster hydrogen trapping and diffusion models (15, 65). Note that we compared both predictions from Oriani and McNabb-Foster theories, finding that both models retrieve the same result (Fig. S10). Therefore, only one set is shown in the main text.

Our first goal was to simulate a TDS desorption profile consistent with the highstrained specimen TDS data shown in Fig. 3B, by using the Oriani/McNabb-Foster hydrogen models with input parameters from either the literature or our experiments, as provided in Table S3 in the Supplementary Information. Specifically, we used the low and high detrapping activation energies extracted from our TDS data (Fig. 3D)— 30.2 kJ/mol and 47 kJ/mol, respectively—obtained through the Kissinger single-step detrapping model. However, the simulation result failed to match the TDS experimental data, particularly for the high-temperature peak associated with the 47 kJ/mol detrapping energy. This was expected as the Kissinger model has been empirically known to underestimate trap binding energies (*66*). To address this discrepancy, we refined our simulation by introducing a higher detrapping activation energy of 80 kJ/mol for the high-temperature peak, a value selected based on studies in the literature that examined similar materials (*17, 33*). This adjustment resulted in the solid line shown in Fig. 7A, which aligns with the TDS data, represented by the spheres.



Fig. 7 Numerical simulations of hydrogen desorption using the Oriani/McNabb-Foster model. (A) shows the simulated (solid line) and experimental (spheres) TDS results considering the presence of two hydrogen traps of 30.2 kJ/mol and 80 kJ/mol. (B) shows the simulated (solid line) and experimental (triangles) isothermal desorption spectroscopy (iTDS) results considering the presence of two hydrogen traps of 30.2 kJ/mol and 80 kJ/mol. (C) shows a simulated process of hydrogen

desorption from a APT specimen. (**D**) shows the simulated desorption profiles in the presence of a variety of strong hydrogen traps, from 47 to 115 kJ/mol, as well as the APT residual hydrogen content based on the cryo-transfer APT data and the 2-hour desorption data. Note that the purple line is located close to the Y-axis.

Using an 80 kJ/mol detrapping energy for the high-temperature desorption peak along with the Oriani/McNabb-Foster hydrogen diffusion model, we generated a hydrogen isothermal desorption spectroscopy (iTDS) profile considering the dimension of TDS specimens, shown as the solid line in Fig. 7B. Unlike conventional TDS, which continuously increases temperature, this approach better reflects the conditions of our APT desorption experiments at ambient temperature. We compared this model with high-strained specimen data (triangles in Fig. 7B), measured at room temperature over 5.5 hours. We found using 30.2 and 80 kJ/mol yielded a strong correlation, affirming our simulation's accuracy for TDS bulk specimens.

Next, we considered the dimension of the APT specimens (see Fig. S9 in the Supplementary Information) and the presence of both 30.2 kJ/mol and 80 kJ/mol hydrogen traps in our model. As shown in Fig. 7C, the model predicted that hydrogen desorbs within 10 seconds in this configuration, contradicting the APT results where hydrogen remained trapped by tangled dislocations after 2 hours. To resolve this inconsistency, we explored a range of detrapping energies for hydrogen desorption in an APT specimen, varying from 47 kJ/mol to 115 kJ/mol, as shown in Fig. 7D, using the Oriani/McNabb-Foster model.

Fig. 7D is displayed with two different x-axis scales to better view the desorption times for lower detrapping energies (i.e., 47 kJ/mol and 80 kJ/mol). The purple (47 kJ/mol) and grey (80 kJ/mol) lines suggest that full hydrogen desorption in a volume at APT specimen size would occur within 0.0005 and 10 seconds, respectively, which does not align with the APT results. This suggests that neither 47 kJ/mol nor 80 kJ/mol accurately describes the trapping and detrapping behaviors of the tangled dislocations.

We then compared the global hydrogen content in both the cryo-transfer APT specimen (Fig. 5) and the 2-hour desorbed specimen (Fig. 6). The ratio of these

hydrogen contents, representing the residual hydrogen after 2 hours of desorption, is shown by the red star in Fig. 7D. This data point falls between the simulated results for detrapping energies of 105 and 110 kJ/mol, suggesting that the tangled dislocations may have an effective detrapping energy exceeding 105 kJ/mol, which is considerably high compared to reported values in the literature (*3*, *9*, *67*). We suspect that this high effective detrapping energy results from a combination of the intrinsic trapping energy of dislocations and the re-trapping of detrapped hydrogen, a concept that has been hypothesized (*68*) but currently lacking direct experimental support. Regardless, our results showcase the potential of using APT in future research to prove this hypothesis. More importantly, our APT results indicate the tangled dislocation traps appear to have much higher potency, i.e., sequester hydrogen for much longer, than existing theories predict.

#### 5. Conclusion

Our work makes the following contributions. First, we clarify the distinct roles of strong and weak hydrogen traps, identifying tangled dislocations as effective, stable hydrogen traps that mitigate embrittlement susceptibility in steels. Second, we provide the first experimental observations of strong hydrogen trapping by employing APT with cryo-transfer and controlled desorption, adding critical empirical evidence to the theoretical landscape of hydrogen trapping. Third, our findings challenge the conventional understanding around local equilibrium theory (14, 15), which assumes hydrogen release from traps aligns with surrounding concentration levels, may not fully apply in engineering materials with complex nanostructures. We demonstrate that tangled dislocations retain hydrogen effectively over extended periods, challenging the conventional belief in the ineffectiveness of hydrogen trapping for embrittlement mitigation. Lastly, we showcase the use of cryo-APT combined with controlled desorption offers a new, comprehensive methodology to map hydrogen trapping in metal matrices, ensuring accurate differentiation between hydrogen traps. This method represents an essential tool for future studies aiming to understand hydrogen behaviors in materials. In summary, our research provides new perspectives

on the design of hydrogen-resistant steels and underscores the potential of defect engineering as a cost-effective and sustainable strategy in advanced material development.

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